IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



13

In re Application of:

C. David Chan

Application No.:

Batch No .:

Filed:

For: Method and Apparatus for Recording Incidents

Notice of Allowance Date:

Group Art Unit:

Examiner:

Class-Subclass:

RECEIVED

JUL 7 1999

GROUP 3600

PATENT APPLICATION TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, District of Columbia 20231

Dear Sir/Madam,

Please file the following enclosed patent application papers of:

Applicant, Name:

C. David Chan

Title of Invention:

Method and Apparatus for Recording Incidents

Specification, Claims and Abstract; No. of Sheets: 15 14

[X] Drawing(s); No. of Sheets: 3

[X] Information Disclosure Statement and Form

Declaration Date Signed: July 7, 1999

X Statement for "Petition to Make Special"

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- [X] Statement Claiming Small Entity Status -- Independent Inventor (PTO/SB/09)
- Check for \$395 made payable to Assistant Commissioner of Patents &

 Trademarks to cover filing fee as an individual inventor as defined in 3.7 CFR

 1.9(c). (Two independent claims and 20 claims. \$395)

July 7, 1999

Check for \$130 made payable to Assistant Commissioner of Patents & Trademarks to cover filing fee for "Petition to Make Special".

Respectfully,

C. David Chan

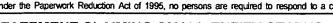
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12779 Fair Briar Lane Fairfax, VA 22033 (703) 322-1705

·APPLICANT



PTO/SB/09 (12-97)
Approved as through 9/30/00. OMB 0651-0031
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR

Docket Number (Optional)

Applicant, Patentee, or Identifier:	CHUK	DAVID	CHAN	
Application or Patent No.:				
Filed or Issued:				
Title: Method	And Apparatus	For Rec	ording #	Incidents
As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:				
the specification filed herev	vith with title as listed abov	e.		
the application identified ab	ove.			
the patent identified above.				
I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).				
Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:				
No such person, concern, or organization exists.				
Each such person, concern, or organization is listed below.				
Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)				
l acknowledge the duty to file, in th				
entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))				
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NAME OF INVENTOR	NAME OF INVENTOR		NAME OF INVE	NTOR
$\bigcap_{i=1}^{n} A_i$				
Signature of Inventor	Signature of inventor	······································	Signature of inve	entor
July 7, 1999				
Date ()	Date		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.